

# INVOLUTIONS ON A SURFACE OF GENERAL TYPE

## WITH $p_g = q = 0$ , $K^2 = 7$

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ABSTRACT. In this paper we study on the involution on minimal surfaces of general type with  $p_g = q = 0$  and  $K^2 = 7$ . We focus on the classification of the birational models of the quotient surfaces and their branch divisors induced by an involution.

### 1. INTRODUCTION

In the 1930s Campedelli [3] constructed the first example of a minimal surface of general type with  $p_g = 0$  using a double cover. He used a double cover of  $\mathbb{P}^2$  branched along a degree 10 curve with six points, not lying on a conic, all of which are a triple point with another infinitely near triple point. After his construction, the covering method has been one of main tools for constructing new surfaces.

Surfaces of general type with  $p_g = q = 0$ ,  $K^2 = 1$ , and with an involution have studied by Keum and the first author [8], and completed later by Calabri, Ciliberto and Mendes Lopes [1]. Also surfaces of general type with  $p_g = q = 0$ ,  $K^2 = 2$ , and with an involution have studied by Calabri, Mendes Lopes, and Pardini [2]. Previous studies motivate the study of surfaces of general type with  $p_g = q = 0$ ,  $K^2 = 7$ , and with an involution.

We know that a minimal surface of general type with  $p_g = q = 0$  satisfies  $1 \leq K^2 \leq 9$ . One can ask whether there is a minimal surface of general type with  $p_g = q = 0$ , and with an involution whose quotient is birational to an Enriques surface. Indeed, there are examples that are minimal surfaces of general type with  $p_g = q = 0$ , and  $K^2 = 1, 2, 3, 4$  constructed by a double cover of an Enriques surface in [6], [8], [9], [14]. On the other hand, there are no minimal surfaces of general type with  $p_g = q = 0$  and  $K^2 = 9$  (resp. 8) having an involution whose quotient is birational to an Enriques surface by Theorem 4.3 (resp. 4.4) in [5]. In the cases  $K^2 = 3$  and 4, eight nodes on an Enriques surface are used to construct a double cover. Since an Enriques surface has at most eight nodes and one already use these eight nodes when one construct a surface with  $K^2 = 3, 4$ , it is reasonable guess that the quotient is not birational to an Enriques surface in the cases  $K^2 = 5, 6, 7$ . We cannot give the affirmative answer for the case  $K^2 = 7$ . But we have only two possible cases by excluding all other cases. Precisely, we prove the following in Section 4.

**Theorem.** *Let  $S$  be a minimal surface of general type with  $p_g(S) = q(S) = 0$ ,  $K_S^2 = 7$  having an involution  $\sigma$ . Suppose that the quotient  $S/\sigma$  is birational to an Enriques surface. Then the number of fixed points is 9, and the fixed divisor is a curve of genus 3 or consists of two curves of genus 1 and 3. Furthermore,  $S$  has a 2-torsion element.*

Let  $S$  be a minimal surface of general type with  $p_g(S) = q(S) = 0$  having an involution  $\sigma$ . There is a commutative diagram:

$$\begin{array}{ccc} V & \xrightarrow{\epsilon} & S \\ \tilde{\pi} \downarrow & & \downarrow \pi \\ W & \xrightarrow{\eta} & \Sigma \end{array}$$

in this diagram  $\pi$  is the quotient map induced by the involution  $\sigma$ . And  $\epsilon$  is the blow-up of  $S$  at  $k$  isolated fixed points of  $\sigma$ . Also,  $\tilde{\pi}$  is induced by the quotient map  $\pi$  and  $\eta$  is the minimal resolution of the  $k$  double points made by the quotient map  $\pi$ . And, there is a fixed divisor  $R$  of  $\sigma$  on  $S$  which is the union of a smooth, possibly reducible, curve. We set  $R_0 := \epsilon^*(R)$  and  $B_0 := \tilde{\pi}(R_0)$ . Let  $\Gamma_i$  be an irreducible component of  $B_0$ . When we write  $\binom{\Gamma_i}{m,n}$ ,  $m$  means  $p_a(\Gamma_i)$  and  $n$  is  $\Gamma_i^2$ .

In the paper, we give the classification of the birational models of the quotient surfaces and their branch divisors induced by an involution when  $K_S^2 = 7$ . Precisely, we have the following table of classification.

| $k$ | $K_W^2$ | $B_0$  | $W$  |
|-----|---------|--|--|
| 5   | 2       | $\binom{\Gamma_0}{(1,-2)}$   | minimal of general type  |
| 7   | 1       | $\binom{\Gamma_0}{(3,2)}$  | minimal of general type  |
| 7   | 0       | $\binom{\Gamma_0}{(2,-2)} + \binom{\Gamma_1}{(2,0)} + \binom{\Gamma_1}{(1,-2)}$  | minimal properly elliptic, or of general type whose the minimal model has $K^2 = 1$  |
| 9   | -2      | $\binom{\Gamma_0}{(4,2)} + \binom{\Gamma_1}{(0,-4)}$<br>$\binom{\Gamma_0}{(3,-2)}$<br>$\binom{\Gamma_0}{(4,4)} + \binom{\Gamma_1}{(1,-2)} + \binom{\Gamma_2}{(0,-4)}$<br>$\binom{\Gamma_0}{(4,4)} + \binom{\Gamma_1}{(0,-6)}$<br>$\binom{\Gamma_0}{(3,0)} + \binom{\Gamma_1}{(1,-2)}$<br>$\binom{\Gamma_0}{(3,2)} + \binom{\Gamma_1}{(2,0)} + \binom{\Gamma_2}{(0,-4)}$<br>$\binom{\Gamma_0}{(3,2)} + \binom{\Gamma_1}{(1,-4)}$<br>$\binom{\Gamma_0}{(2,-2)} + \binom{\Gamma_1}{(2,0)}$<br>$\binom{\Gamma_0}{(3,2)} + \binom{\Gamma_1}{(1,-2)} + \binom{\Gamma_2}{(1,-2)}$<br>$\binom{\Gamma_0}{(2,0)} + \binom{\Gamma_1}{(2,0)} + \binom{\Gamma_2}{(1,-2)}$ | $\kappa(W) \leq 1$ , and<br>if $W$ is birational to an Enriques surface<br>then $B_0 = \binom{\Gamma_0}{(3,0)} + \binom{\Gamma_1}{(1,-2)}$ or $\binom{\Gamma_0}{(3,-2)}$ . |
| 11  | -4      |  | rational surface   |

If  $k = 11$ , the bicanonical map is composed with the involution. We will omit the classification of  $B_0$  for  $k = 11$  because there are detailed studies in [1] and [11].

The paper is organized as follows: in Section 3 we make tables of classifications of branch divisors  $B_0$ , and birational models of quotient surfaces  $W$  for each possible  $k$ ; in Section 4 we study when  $W$  is birational to an Enriques surface; in Section 5 we provide some examples. The existence of  $W$  with  $\kappa(W) \geq 0$  is an open question.

## 2. NOTATION AND CONVENTIONS

In this section we fix the notation which will be used in this paper. In this paper, we work over the field of complex numbers.

Let  $X$  be a smooth projective surface and  $\Gamma$  be a curve in  $X$ . Let  $\hat{\Gamma}$  is the normalization of  $\Gamma$ . We set:

$K_X$ : a canonical divisor of  $X$ ;  
 $NS(X)$ : the Néron-Severi group of  $X$ ;  
 $\rho(X)$ : the rank of  $NS(X)$ ;  
 $\kappa(X)$ : the Kodaira dimension of  $X$ ;  
 $q(X)$ : the irregularity of  $X$ , that is,  $h^1(X, \mathcal{O}_X)$ ;  
 $p_g(X)$ : the geometric genus of  $X$ , that is,  $h^0(X, \mathcal{O}_X(K_X))$ ;  
 $p_a(\Gamma)$ : the arithmetic genus of  $\Gamma$ , that is,  $\Gamma(\Gamma + K_X)/2 + 1$ ;  
 $p_g(\Gamma)$ : the geometric genus of  $\Gamma$ , that is,  $h^0(\hat{\Gamma}, \mathcal{O}_{\hat{\Gamma}}(K_{\hat{\Gamma}}))$ ;  
 $\equiv$ : the linear equivalence of divisors on a surface;  
 $\sim$ : the numerical equivalence of divisors on a surface;  
 $\Gamma: (m, n)$  or  $\begin{smallmatrix} \Gamma \\ (m, n) \end{smallmatrix}$ :  $m$  is  $p_a(\Gamma)$  and  $n$  is the self intersection number of  $\Gamma$ ;  
 We usually omit the sign  $\cdot$  of the intersection product of two divisors on a surface.

Let  $S$  be a minimal surface of general type with  $p_g(S) = q(S) = 0$  having an involution  $\sigma$ . Then there is a commutative diagram:

$$\begin{array}{ccc} V & \xrightarrow{\epsilon} & S \\ \tilde{\pi} \downarrow & & \downarrow \pi \\ W & \xrightarrow{\eta} & \Sigma \end{array}$$

In the above diagram  $\pi$  is the quotient map induced by the involution  $\sigma$ . And  $\epsilon$  is the blow-up of  $S$  at  $k$  isolated fixed points arising from the involution  $\sigma$ . Also,  $\tilde{\pi}$  is induced by the quotient map  $\pi$  and  $\eta$  is the minimal resolution of the  $k$  double points made by the quotient map  $\pi$ . We denote the  $k$  disjoint  $(-1)$ -curves on  $V$  (resp. the  $k$  disjoint  $(-2)$ -curves on  $W$ ) related to the  $k$  disjoint isolated fixed points on  $S$  (resp. the  $k$  double points on  $\Sigma$ ) as  $E_i$  (resp.  $N_i$ ),  $i = 1, \dots, k$ . And, there is a fixed divisor  $R$  of  $\sigma$  on  $S$  which is the union of a smooth, possibly reducible, curve. So we set  $R_0 := \epsilon^*(R)$  and  $B_0 := \tilde{\pi}(R_0)$ .

The map  $\tilde{\pi}$  is a flat double cover branched on  $\tilde{B} := B_0 + \sum_{i=1}^k N_i$ . Thus there exists a divisor  $L$  on  $W$  such that  $2L \equiv \tilde{B}$  and

$$\tilde{\pi}_* \mathcal{O}_V = \mathcal{O}_W \oplus \mathcal{O}_W(-L).$$

Moreover,  $K_V \equiv \tilde{\pi}^*(K_W + L)$  and  $K_S \equiv \pi^*K_\Sigma + R$ .

### 3. CLASSIFICATION OF BRANCH DIVISORS AND QUOTIENT SURFACES

In this section we classify the possibilities of branch divisors  $B_0$  and the birational models of  $W$  with respect to the number of isolated fixed points and  $K_W^2$ .

Since  $\epsilon^*(2K_S) \equiv \tilde{\pi}^*(2K_W + B_0)$ , the divisor  $2K_W + B_0$  is nef and big, and  $(2K_W + B_0)^2 = 2K_S^2$ . First we recall the results in [1] and [5].

**Proposition 3.1** (Proposition 3.3 and Corollary 3.5 in [1]). *Let  $S$  be a minimal surface of general type with  $p_g = 0$  and let  $\sigma$  be an involution of  $S$ . Then*

- (i)  $k \geq 4$ ;
- (ii)  $K_W L + L^2 = -2$ ;
- (iii)  $h^0(W, \mathcal{O}_W(2K_W + L)) = K_W^2 + K_W L$ ;
- (iv)  $K_W^2 + K_W L \geq 0$ ;
- (v)  $k = K_S^2 + 4 - 2h^0(W, \mathcal{O}_W(2K_W + L))$ ;
- (vi)  $h^0(W, \mathcal{O}_W(2K_W + B_0)) = K_S^2 + 1 - h^0(W, \mathcal{O}_W(2K_W + L))$ ;
- (vii)  $K_W^2 \geq K_V^2$ .

**Proposition 3.2** (Corollary 3.6 in [1]). *Let  $S$  be a minimal surface of general type with  $p_g = 0$ , let  $\varphi: S \rightarrow \mathbb{P}^{K_S^2}$  be the bicanonical map of  $S$  and let  $\sigma$  be an involution of  $S$ . Then the following conditions are equivalent:*

- (i)  $\varphi$  is composed with  $\sigma$ ;
- (ii)  $h^0(W, \mathcal{O}(2K_W + L)) = 0$ ;
- (iii)  $K_W(K_W + L) = 0$ ;
- (iv) the number of isolated fixed points of  $\sigma$  is  $k = K_S^2 + 4$ .

By (i) and (v) of Proposition 3.1, the possibilities of  $k$  are 5, 7, 9, 11 if  $K_S^2 = 7$ . In particular, if  $k = 11$ , the bicanonical map  $\varphi$  is composed with the involution, which is treated by Proposition 3.2.

**Lemma 3.3** (Theorem 3.3 in [5]). *Let  $W$  be a smooth rational surface and let  $N_1, \dots, N_k \subset W$  be disjoint nodal curves. Then*

- (i)  $k \leq \rho(W) - 1$ , and equality holds if and only if  $W = \mathbb{F}_2$ ;
- (ii) if  $k = \rho(W) - 2$  and  $\rho(W) \geq 5$ , then  $k$  is even.

**Lemma 3.4** (Proposition 4.1 in [5] and Remark 4.3 in [7]). *Let  $W$  be a surface with  $p_g(W) = q(W) = 0$  and  $\kappa(W) \geq 0$ , and let  $N_1, \dots, N_k \subset W$  be disjoint nodal curves. Then*

- (i)  $k \leq \rho(W) - 2$  unless  $W$  is a fake projective plane;
- (ii)  $k = \rho(W) - 2$ , then  $W$  is minimal unless  $W$  is the blow-up of a fake projective plane at one point or at two infinitely near points.

Denote  $D := 2K_W + B_0$  for convenience. For each  $k$ , we get the following.

**Theorem 3.5.** *Let  $S$  be a minimal surface of general type with  $p_g(S) = 0$  and  $K_S^2 = 7$  having an involution  $\sigma$ . Then*

- (i)  $D^2 = 14$ ;
- (ii) If  $k = 11$ , then  $K_W D = 0$ ,  $K_W^2 = -4$ , and  $W$  is a rational surface;
- (iii) If  $k = 9$ , then  $K_W D = 2$ ,  $K_W^2 = -2$ , and  $\kappa(W) \leq 1$ ;
- (iv) If  $k = 7$ , then  $K_W D = 4$ ,  $0 \leq K_W^2 \leq 1$ , and  $\kappa(W) \geq 1$ . Furthermore, if  $W$  is properly elliptic then  $K_W^2 = 0$ , and if  $K_W^2 = 1$  then  $W$  is minimal of general type. And if  $K_W^2 = 0$  and  $W$  is of general type then  $K_{W'}^2 = 1$  where  $W'$  is the minimal model of  $W$ ;
- (v) If  $k = 5$ , then  $K_W D = 6$ ,  $K_W^2 = 2$ , and  $W$  is minimal of general type.

*Proof.* (i) It is obtained by  $\epsilon^*(2K_S) \equiv \tilde{\pi}^*(D)$  and  $K_S^2 = 7$ .

(ii) Firstly,  $K_W D = 0$  because  $K_W D = 2K_W(K_W + L) = 0$  by Proposition 3.2. Secondly,  $K_V^2 = K_S^2 - k = 7 - 11 = -4$ . So  $K_W^2 \geq -4$  by (vii) of Proposition 3.1. Finally,  $K_W^2 \leq 0$  by the algebraic index theorem because  $K_W D = 0$  and  $D$  is nef and big. Since  $K_W D = 0$ ,  $W$  can only be a rational surface or birational to an Enriques surface. Enriques surface is excluded by Theorem 3 in [16]. Also by Lemma 3.3,  $k \leq \rho(W) - 3$ , and so  $\rho(W) \geq 14$ . Therefore  $K_W^2 = -4$ .

(iii) Firstly,  $K_W D = 2$  because  $K_W D = 2K_W(K_W + L) = 2$  by (iii) and (v) of Proposition 3.1. Secondly,  $K_V^2 = K_S^2 - k = 7 - 9 = -2$ . So  $K_W^2 \geq -2$  by (vii) of Proposition 3.1. Finally,  $0 \geq (7K_W - D)^2 = 49K_W^2 - 14K_W D + D^2 = 49K_W^2 - 14$  by the algebraic index theorem because  $D$  is nef and big. So  $K_W^2 \leq 0$ .

If  $W$  is a rational surface then by Lemma 3.3  $k \leq \rho(W) - 3$ , and so  $\rho(W) \geq 12$ . Therefore  $K_W^2 = -2$ . If  $\kappa(W) \geq 0$  then by Lemma 3.4  $\rho(W) \geq 11$ . If  $\rho(W) = 11$  then  $W$  is minimal, so it gives a contradiction because  $K_W^2 = -1$ . Therefore  $\rho(W) = 12$  and  $K_W^2 = -2$ .

Moreover,  $W$  is not of general type: Suppose  $W$  is of general type. Then we consider a birational morphism  $t: W \rightarrow W'$  such that  $W'$  is the minimal model of  $W$ . Also, we can write  $K_W \equiv t^*(K_{W'}) + E$ ,  $E > 0$  since  $K_W^2 \leq 0$ .

Then  $Dt^*(K_{W'}) = 2$ ; Firstly,  $Dt^*(K_{W'}) \leq 2$  because  $2 = DK_W = Dt^*(K_{W'}) + DE$  and  $D$  is nef. Secondly,  $Dt^*(K_{W'}) \geq 2$  because  $Dt^*(K_{W'}) = 2K_W t^*(K_{W'}) + B_0 t^*(K_{W'}) = 2(t^*(K_{W'}) + E)t^*(K_{W'}) + B_0 t^*(K_{W'}) = 2K_{W'}^2 + B_0 t^*(K_{W'}) \geq 2$  since  $K_{W'}^2 > 0$  and  $K_{W'}$  is nef.

So, by the algebraic index theorem,  $0 \geq (7t^*(K_W) - D)^2 = 49t^*(K_{W'})^2 - 14Dt^*(K_{W'}) + D^2 = 49K_{W'}^2 - 28 + 14$ . Thus  $K_{W'}^2 \leq 0$ , which give a contradiction.

(iv) Since  $K_V^2 = K_S^2 - k = 0$ ,  $K_W^2 \geq 0$ .  $K_W D = 4$  yields  $K_W^2 \leq 1$ .  $K_W^2 \geq 0$  and  $K_W D = 4$  means that  $W$  is not birational to an Enriques surface. Again  $k = 7$  implies that if  $W$  is a rational surface then  $K_W^2 = 0$ . But then  $h^0(W, \mathcal{O}_W(-K_W)) > 0$  and this is impossible because  $D$  is nef.

If  $W$  is properly elliptic then  $K_W^2 = 0$ . And if  $K_W^2 = 1$  then  $W$  is a minimal surface of general type by Lemma 3.4.

Now suppose that  $K_W^2 = 0$  and  $W$  is of general type. Then we consider a birational morphism  $t: W \rightarrow W'$  such that  $W'$  is the minimal model of  $W$ . Suppose  $K_{W'}^2 \geq 2$ .

We write  $K_W \equiv t^*(K_{W'}) + E$ ,  $E > 0$ . Firstly,  $Dt^*(K_{W'}) \leq 4$  because  $K_W D = 4$ . Secondly,  $Dt^*(K_{W'}) \geq 4$ :  $Dt^*(K_{W'}) = 2K_W t^*(K_{W'}) +$

$B_0 t^*(K_{W'}) = 2(t^*(K_{W'}) + E)t^*(K_{W'}) + B_0 t^*(K_{W'}) = 2K_{W'}^2 + B_0 t^*(K_{W'}) \geq 4$  since we suppose  $K_{W'}^2 \geq 2$  and  $K_{W'}$  is nef.

Therefore  $Dt^*(K_{W'}) = 4$ . Then by the algebraic index theorem and  $D^2 = 14$ ,  $0 \geq (7t^*(K_W) - 2D)^2 = 49t^*(K_{W'})^2 - 28Dt^*(K_{W'}) + 4D^2 = 49K_{W'}^2 - 112 + 56$ , which give a contradiction.

(v) Since  $K_V^2 = 2$ ,  $K_W^2 \geq 2$  and so  $W$  is either a rational surface or a surface of general type. But if it is a rational surface then  $h^0(W, \mathcal{O}_W(-K_W)) > 0$  gives a contradiction. Also  $K_W D = 6$  and the algebraic index theorem implies that  $K_W^2 \leq 2$ .

Now we know that  $W$  is of general type with  $K_W^2 = 2$ , it is enough to prove that  $W$  is minimal. Suppose  $W$  is not minimal. Then we consider a birational morphism  $t: W \rightarrow W'$  such that  $W'$  is the minimal model of  $W$ . Also, we can write  $K_W \equiv t^*(K_{W'}) + E$ ,  $E > 0$ . Firstly,  $Dt^*(K_{W'}) \leq 6$  because  $K_W D = 6$ , and  $K_{W'}^2 \geq 3$ . Secondly,  $Dt^*(K_{W'}) \geq 6$ :  $Dt^*(K_{W'}) = 2K_W t^*(K_{W'}) + B_0 t^*(K_{W'}) = 2(t^*(K_{W'}) + E)t^*(K_{W'}) + B_0 t^*(K_{W'}) = 2K_{W'}^2 + B_0 t^*(K_{W'}) \geq 6$  since  $K_{W'}^2 \geq 3$  and  $K_{W'}$  is nef.

Therefore  $Dt^*(K_{W'}) = 6$ . Then by the algebraic index theorem and  $D^2 = 14$ ,  $0 \geq (7t^*(K_{W'}) - 3D)^2 = 49t^*(K_{W'})^2 - 42Dt^*(K_{W'}) + 9D^2 = 49K_{W'}^2 - 252 + 126$ , which give a contradiction.  $\square$

**3.1. Possibilities for  $B_0$  and  $W$ .** We study the possibilities of an irreducible component  $\Gamma \subset B_0$  for each number of isolated fixed points. Let  $\Gamma_V$  be the preimage of  $\Gamma$  in the double cover  $V$  of  $W$ . We do not consider the case  $k = 11$  because it is already studied in [1] and [11].

**Lemma 3.6.** *For any irreducible component  $\Gamma \subset B_0$  on  $W$ ,  $2K_V \Gamma_V = \Gamma D$ , where  $\tilde{\pi}^* \Gamma \equiv 2\Gamma_V$ .*

*Proof.* We have  $2\Gamma D = \tilde{\pi}^*(\Gamma)\tilde{\pi}^*(D) = 2\Gamma_V \epsilon^*(2K_S)$ . So  $\Gamma D = \Gamma_V \epsilon^*(2K_S)$ . On the other hand, we know that  $\Gamma_V \epsilon^*(2K_S) = 2K_V \Gamma_V$  because  $\Gamma_V \cap (\text{Exceptional locus of } \epsilon) = \emptyset$ . So  $2K_V \Gamma_V = \Gamma D$ .  $\square$

**Remark 3.7.** By Lemma 3.6,  $\Gamma D$  should be even and if  $\Gamma D = 0$  then  $\Gamma$  is a  $(-4)$ -curve.

**3.1.1. Classification of  $B_0$  for  $k = 9$ .** In this case,  $B_0 D = 10$  because  $B_0 D = (D - 2K_W)D = 14 - 4 = 10$ . So  $\Gamma D = 10, 8, 6, 4$ , or  $2$ .

1) The case  $\Gamma D = 10$ . Since  $D^2 = 14$  and  $D$  is nef and big,  $0 \geq (7\Gamma - 5D)^2 = 49\Gamma^2 - 70\Gamma D + 25D^2 = 49\Gamma^2 - 350$  by the algebraic index theorem. That is,  $\Gamma^2 \leq 7$ . Thus we get  $\Gamma_V^2 \leq 3$  because  $2\Gamma_V^2 = \Gamma^2$ . Moreover, we know that  $0 \leq p_a(\Gamma_V) = 1 + \frac{1}{2}(\Gamma_V^2 + K_V \Gamma_V) = 1 + \frac{1}{2}(\Gamma_V^2 + 5)$  by Lemma 3.6. Thus  $-7 \leq \Gamma_V^2 \leq 3$ . By the genus formula,  $\Gamma_V^2 = -7, -5, -3, -1, 1, 3$ .

(1) The case  $\Gamma_V^2 = -7$ : In this case,  $p_a(\Gamma_V) = 0$ . So  $\Gamma: (0, -14)$ . Then if we write that  $B_0 = \Gamma_0 + \Gamma_1 + \dots + \Gamma_l$  such that  $\Gamma_0 = \Gamma$  and  $\Gamma_i$  are  $(-4)$ -curves for each  $i = 1, \dots, l$ , then

$$6 = 2 - 2K_W^2 = K_W(D - 2K_W) = K_W B_0 = 12 + 2l.$$

We get a contradiction because  $l = -3$

(2) The cases  $\Gamma_V^2 = -5, -3$ : By a similar argument as the case (1), we get contradictions because  $l < 0$ .

(3) The case  $\Gamma_V^2 = -1$ : We get  $p_a(\Gamma_V) = 3$ . So  $\Gamma: (3, -2)$  and  $l = 0$ .

(4) The case  $\Gamma_V^2 = 1$ : Here,  $p_a(\Gamma_V) = 4$ . So  $\Gamma: (4, 2)$  and  $l = 1$ .

(5) The case  $\Gamma_V^2 = 3$ : Lastly,  $p_a(\Gamma_V) = 5$ . So  $\Gamma: (5, 6)$  and  $l = 2$ .

Now, we have the following possibilities of  $B_0$  in the case  $\Gamma D = 10$ .

$$B_0 : \begin{smallmatrix} \Gamma_0 \\ (5,6) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (0,-4) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (0,-4) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (4,2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (0,-4) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (3,-2) \end{smallmatrix}$$

**Remark 3.8.**  $\begin{smallmatrix} \Gamma_0 \\ (5,6) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (0,-4) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (0,-4) \end{smallmatrix}$  cannot occur by Proposition 2.1.1 of [13] because a smooth rational curve in  $B_0$  corresponds to a smooth rational curve on  $S$ .

2) The case  $\Gamma_0 D = 8$  and  $\Gamma_1 D = 2$ . We can use the similar argument as the above 3.1.1.1) for each of  $\Gamma_0 D$  and  $\Gamma_1 D$ . However, we have to consider  $B_0 = \Gamma_0 + \Gamma_1 + \Gamma'_1 + \dots + \Gamma'_l$  to get the possibilities for  $B_0$ , where  $\Gamma'_i: (0, -4)$  for all  $i \in \{1, 2, \dots, l\}$  if it exists. Then we get the following possible cases.

$$B_0 : \begin{smallmatrix} \Gamma_0 \\ (4,4) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1,-2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (0,-4) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (4,4) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (0,-6) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (3,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1,-2) \end{smallmatrix}$$

Now, we give all remaining cases by the similar argument as the above 3.1.1.2).

3) The case  $\Gamma_0 D = 6$  and  $\Gamma_1 D = 4$ .

$$B_0 : \begin{smallmatrix} \Gamma_0 \\ (3,2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (0,-4) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (3,2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1,-4) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (2,-2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2,0) \end{smallmatrix}$$

4) The case  $\Gamma_0 D = 6$ ,  $\Gamma_1 D = 2$  and  $\Gamma_2 D = 2$ .

$$B_0 : \begin{smallmatrix} \Gamma_0 \\ (3,2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1,-2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (1,-2) \end{smallmatrix}$$

5) The case  $\Gamma_0 D = 4$ ,  $\Gamma_1 D = 4$  and  $\Gamma_2 D = 2$ .

$$B_0 : \begin{smallmatrix} \Gamma_0 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (1,-2) \end{smallmatrix}$$

6) The case  $\Gamma_0 D = 4$ ,  $\Gamma_1 D = 2$ ,  $\Gamma_2 D = 2$  and  $\Gamma_3 D = 2$ .

We get a contradiction by the similar argument in 3.1.1.1).(1).

7) The case  $\Gamma_0 D = 2$ ,  $\Gamma_1 D = 2$ ,  $\Gamma_2 D = 2$ ,  $\Gamma_3 D = 2$  and  $\Gamma_4 D = 2$ .

This case is also ruled out by the similar argument in 3.1.1.1).(1).

By Theorem 3.5 and from the above classification, we get the following table:

**3.1.2. Classification of  $B_0$  for  $k = 7$ .** In this case,  $B_0 D = 6$ . So  $\Gamma D$  can be 6, 4, 2. By using similar arguments as the above 3.1.1, we get the following tables related to  $K_W^2$  and  $B_0$  for each case of  $\Gamma D$ .

1) The case  $\Gamma D = 6$ .

| $K_W^2$ | $B_0$  |
|---------|--|
| 1       | $\begin{smallmatrix} \Gamma_0 \\ (3,2) \end{smallmatrix}$  |
| 0       | $\begin{smallmatrix} \Gamma_0 \\ (3,2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (0,-4) \end{smallmatrix}, \begin{smallmatrix} \Gamma_0 \\ (2,-2) \end{smallmatrix}$ |

**Lemma 3.9.**  $B_0 = \begin{smallmatrix} \Gamma_0 \\ (3,2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (0,-4) \end{smallmatrix}$  is not possible.

*Proof.* Now, we know that  $W$  is minimal properly elliptic, or of general type whose the minimal model has  $K^2 = 1$  by Theorem 3.5. If  $W$  is minimal properly elliptic, then we get a contradiction by Miyaoka's theorem in [13] because  $W$  has seven disjoint  $(-2)$ -curves and one  $(-4)$ -curve.

Table 1: Classifications of  $K_W^2$ ,  $B_0$  and  $W$  for  $k = 9$ 

| $K_W^2$ | $B_0$  | $W$                |
|---------|--|--------------------|
| -2      | $\begin{matrix} \Gamma_0 \\ (4,2) + \Gamma_1 \\ (0,-4) \\ \Gamma_0 \\ (3,-2) \\ \Gamma_0 \\ (4,4) + \Gamma_1 \\ (1,-2) + \Gamma_2 \\ (0,-4) \\ \Gamma_0 \\ (4,4) + \Gamma_1 \\ (0,-6) \\ \Gamma_0 \\ (3,0) + \Gamma_1 \\ (1,-2) \\ \Gamma_0 \\ (3,2) + \Gamma_1 \\ (2,0) + \Gamma_2 \\ (0,-4) \\ \Gamma_0 \\ (3,2) + \Gamma_1 \\ (1,-4) \\ \Gamma_0 \\ (2,-2) + \Gamma_1 \\ (2,0) \\ \Gamma_0 \\ (3,2) + \Gamma_1 \\ (1,-2) + \Gamma_2 \\ (1,-2) \\ \Gamma_0 \\ (2,0) + \Gamma_1 \\ (2,0) + \Gamma_2 \\ (1,-2) \end{matrix}$ | $\kappa(W) \leq 1$ |

So, suppose that  $W$  is of general type whose minimal model has  $K^2 = 1$ . Then we consider a birational morphism  $t : W \rightarrow W'$  such that  $W'$  is the minimal model of  $W$ . We write  $K_W \equiv t^*(K_{W'}) + E$ , where  $E$  is the unique  $(-1)$ -curve. Firstly,  $E$  cannot meet seven disjoint  $N_i$  because  $K_{W'}t(N_i) = -N_iE$  and  $K_{W'}$  is nef. And  $\Gamma_1 E \leq 1$  because  $K_W B_0 = 4$ ,  $K_W \Gamma_0 = 2$ , and  $t^*(K_{W'})\Gamma_1 \geq 1$ . Then, Miyaoka's theorem [13] again gives a contradiction because  $W'$  has seven disjoint  $(-2)$ -curves, and one  $(-4)$ -curve or one  $(-3)$ -curve.  $\square$

2) The case  $\Gamma_0 D = 4$  and  $\Gamma_1 D = 2$ .

| $K_W^2$ | $B_0$  |
|---------|--|
| 0       | $\begin{matrix} \Gamma_0 \\ (2,0) + \Gamma_1 \\ (1,-2) \end{matrix}$ |

3) The case  $\Gamma_0 D = 2$ ,  $\Gamma_1 D = 2$  and  $\Gamma_2 D = 2$ .

This case is not possible by the similar argument in 3.1.1.1).(1).

Table 2: Classifications of  $K_W^2$ ,  $B_0$  and  $W$  for  $k = 7$ 

| $K_W^2$ | $B_0$  | $W$   |
|---------|--|---|
| 1       | $\begin{matrix} \Gamma_0 \\ (3,2) \end{matrix}$  | minimal of general type   |
| 0       | $\begin{matrix} \Gamma_0 \\ (2,-2) \\ \Gamma_0 \\ (2,0) + \Gamma_1 \\ (1,-2) \end{matrix}$ | minimal properly elliptic, or of general type whose the minimal model has $K^2 = 1$ |

**3.1.3. Classification of  $B_0$  for  $k = 5$ .** In this case,  $B_0 D = 2$ . So  $\Gamma D$  can be 2. By using similar arguments as the above 3.1.1, we get the following table relating to  $K_W^2$  and  $B_0$  for  $\Gamma D$ .



Table 3: Classifications of  $K_W^2$ ,  $B_0$  and  $W$  for  $k = 5$ 

| $K_W^2$ | $B_0$   | $W$             |
|---------|---|-----------------|
| 2       | $\begin{smallmatrix} \Gamma_0 \\ (1, -2) \end{smallmatrix}$ | of general type |

## 4. QUOTIENT SURFACE BIRATIONAL TO AN ENRIQUES SURFACE

In this section we study the case when  $W$  is birational to an Enriques surface.

**Theorem 4.1.** *Let  $S$  be a minimal surface of general type with  $p_g(S) = 0$  and  $K_S^2 = 7$  having an involution  $\sigma$ . If  $W$  is birational to an Enriques surface then  $k = 9$ ,  $K_W^2 = -2$ , and the branch divisor  $B_0 = \begin{smallmatrix} \Gamma_0 \\ (3, 0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1, -2) \end{smallmatrix}$  or  $\begin{smallmatrix} \Gamma_0 \\ (3, -2) \end{smallmatrix}$ . Furthermore,  $S$  has a 2-torsion element.*

Suppose  $W$  is birational an Enriques surface. Then by Theorem 3.5, we have  $k = 9$  and  $K_W^2 = -2$ . Consider the contraction maps:

$$W \xrightarrow{\varphi_1} W_1 \xrightarrow{\varphi_2} W',$$

where  $\bar{E}_1$  is  $(-1)$ -curve on  $W$ ,  $\bar{E}_2$  is  $(-1)$ -curve on  $W_1$ ,  $\varphi_i$  is the contraction of the  $(-1)$ -curve  $\bar{E}_i$ , and  $W'$  is an Enriques surface.

**Lemma 4.2.** *i)  $N_i \cap \bar{E}_1 \neq \emptyset$  for some  $i \in \{1, 2, \dots, 9\}$ .  
ii)  $N_1 \bar{E}_1 = 1$  after relabeling  $\{N_1, \dots, N_9\}$ .  
iii)  $N_s \bar{E}_1 = 0$  for all  $s \in \{2, \dots, 9\}$ .*

*Proof.* i) Suppose that  $N_i \cap \bar{E}_1 = \emptyset$  for all  $i = 1, \dots, 9$ . Let  $A$  be the number of disjoint  $(-2)$ -curves on  $W_1$ . Then by Lemma 3.4 (i),  $9 \leq A \leq \rho(W_1) - 2 = 9$ . Thus  $A = 9$  and  $W_1$  should be a minimal surface by Lemma 3.4 (ii). This is a contradiction because  $W_1$  is not minimal. Hence  $N_i \cap \bar{E}_1 \neq \emptyset$  for some  $i \in \{1, 2, \dots, 9\}$ .

ii) By part i) we may choose a  $(-2)$ -curve  $N_1$  such that  $N_1 \bar{E}_1 = \alpha > 0$ . Then  $(\varphi_1(N_1))^2 = -2 + \alpha^2$  and  $\varphi_1(N_1)K_{W_1} = -\alpha$ . We claim that  $\alpha$  must be 1. Indeed, suppose  $\alpha \geq 2$ , then  $(\varphi_1(N_1))^2 > 0$ , so  $\varphi_2 \circ \varphi_1(N_1)$  is a curve on  $W'$ . Moreover,  $\varphi_2 \circ \varphi_1(N_1)K_{W'} \leq \varphi_1(N_1)K_{W_1}$ . But the left side is zero because  $2K_{W'} \equiv 0$  and the right side is negative because  $\varphi_1(N_1)K_{W_1} = -\alpha$  by our assumption. This is a contradiction, thus  $\alpha = 1$ .

iii) Suppose that  $N_s \bar{E}_1 \neq 0$  for some  $s \in \{2, \dots, 9\}$ . Then  $W_1$  would contain a pair of irreducible  $(-1)$ -curves with nonempty intersection. This is impossible because  $K_{W_1}$  is nef. Hence  $N_s \bar{E}_1 = 0$  for all  $s \in \{2, \dots, 9\}$ .  $\square$

In this situation, consider an irreducible nonsingular curve  $\Gamma$  disjoint to  $N_1$  and such that  $\bar{E}_1 \Gamma = \beta$ . Then we obtain the following.

**Lemma 4.3.**  $2p_a(\Gamma) - 2 = \Gamma^2 + 2\beta$ .

*Proof.* By Lemma 4.2,

$$K_W \equiv \varphi_1^*(K_{W_1}) + \bar{E}_1 \equiv \varphi_1^*(\varphi_2^*(K_{W'}) + \bar{E}_2) + \bar{E}_1 \equiv \varphi_1^* \circ \varphi_2^*(K_{W'}) + N_1 + 2\bar{E}_1.$$

So  $K_W \Gamma = \varphi_1^* \circ \varphi_2^*(K_{W'}) \Gamma + N_1 \Gamma + 2\bar{E}_1 \Gamma = 2\beta$  since  $2K_{W'} \equiv 0$  and  $N_1$  and  $\Gamma$  are disjoint. Thus we get  $2p_a(\Gamma) - 2 = \Gamma^2 + 2\beta$ .  $\square$

By referring to Table 1. of Section 3.1.1 with respect to  $K_W^2 = -2$  and  $k = 9$ , we obtain a list of possible branch curves  $B_0$ . Then we can consider  $\Gamma$  as one of the components  $\Gamma_i$  in the  $B_0$ . The possibilities for  $\Gamma$  which we will consider are:

$$(0, -4), (2, -2), (2, 0), (1, -2), (0, -6), (3, 2), (1, -4).$$

We treat each case separately.

a) The case  $\Gamma: (0, -4)$

By Lemma 4.3 (i),  $\beta = 1$ . Thus  $W'$  should contain disjoint 9 curves of type  $(0, -2)$ . This is a contradiction because  $W'$  can contain at most eight disjoint  $(-2)$ -curves which are  $(0, -2)$  since it is an Enriques surface.

From now on, we consider the nodal Enriques surface  $\Sigma'$  obtained by contracting eight  $(-2)$ -curves  $\tilde{N}_i$ ,  $i = 2, \dots, 9$ , where  $\tilde{N}_i := \varphi_2 \circ \varphi_1(N_i)$  on  $W'$ . The surface  $\Sigma'$  has eight nodes  $q_i$ ,  $i = 2, \dots, 9$  and  $\tilde{\Gamma}_{\Sigma'}$  which is image of  $\tilde{\Gamma}$ , where  $\tilde{\Gamma} := \varphi_2 \circ \varphi_1(\Gamma)$  on  $W'$ . By Theorem 4.1 in [12],  $\Sigma' = D_1 \times D_2/G$ , where  $D_1$  and  $D_2$  are elliptic curves and  $G$  is a finite group  $\mathbb{Z}_2^2$  or  $\mathbb{Z}_2^3$ . Let  $p$  be the quotient map  $D_1 \times D_2 \rightarrow D_1 \times D_2/G = \Sigma'$ . The map  $p$  is étale outside the preimage of nodes  $q_i$  on  $\Sigma'$ , and we note that  $\tilde{\Gamma}_{\Sigma'}$  does not meet with any eight nodes  $q_i$  on  $\Sigma'$ . We write  $\hat{\Gamma}_{D_1 \times D_2}$  for a component of  $p^{-1}(\tilde{\Gamma}_{\Sigma'})$ .

b) The case  $\Gamma: (0, -6)$

By Lemma 4.3,  $\beta = 2$ . So  $\tilde{\Gamma}$  is  $(2, 2)$ . Then the normalization  $\hat{\Gamma}^{nor}$  of  $\hat{\Gamma}_{D_1 \times D_2}$  is a smooth rational curve since  $p_a(\Gamma) = 0$  and  $\Gamma$  is smooth.

Let  $pr_i$  be the projection map  $D_1 \times D_2 \rightarrow D_i$ . Then this induces morphisms  $p_i: \hat{\Gamma}^{nor} \rightarrow D_i$  which factors through  $pr_i$ . Then since  $\hat{\Gamma}_{D_1 \times D_2}$  is a curve on  $D_1 \times D_2$ ,  $p_i$  should be a surjective morphism for some  $i \in \{1, 2\}$ . However, this is impossible because  $p_g(\hat{\Gamma}^{nor}) = 0$  and  $p_g(D_i) = 1$ .

c) The case  $\Gamma: (1, -4)$

By Lemma 4.3,  $\beta = 2$ . So  $\tilde{\Gamma}$  is  $(3, 4)$ . Then the normalization  $\hat{\Gamma}^{nor}$  of  $\hat{\Gamma}_{D_1 \times D_2}$  is a smooth elliptic curve because  $p_a(\Gamma) = 1$  and  $\Gamma$  is smooth. Thus  $\hat{\Gamma}^{nor} \rightarrow D_1 \times D_2$  is a morphism of Abelian varieties and so must be linear, which implies that  $\hat{\Gamma}_{D_1 \times D_2}$  is smooth. Thus  $\tilde{\Gamma}_{\Sigma'}$  is also smooth because  $\tilde{\Gamma}_{\Sigma'}$  does not meet with any eight nodes  $q_i$  on  $\Sigma'$  and  $p$  is étale on away from the nodes  $q_i$ . This is a contradiction since we assumed  $\tilde{\Gamma}_{\Sigma'}$  to be singular.

d) The case  $\begin{smallmatrix} \Gamma_0 \\ (3, 2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1, -2) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (1, -2) \end{smallmatrix}$

By Lemma 4.3, we have  $\tilde{E}_1 \Gamma_i = 1$  for  $i = 0, 1, 2$ . So we get  $\tilde{\Gamma}_0: (3, 4)$ ,  $\tilde{\Gamma}_1: (1, 0)$ ,  $\tilde{\Gamma}_2: (1, 0)$  and  $\tilde{\Gamma}_i \tilde{\Gamma}_j = 2$  for  $i \neq j$  on the Enriques surface  $W'$ . Now, we apply Proposition 3.1.2 of [4] to the curve  $\tilde{\Gamma}_2$ . Then one of the linear systems  $|\tilde{\Gamma}_2|$  or  $|2\tilde{\Gamma}_2|$  gives an elliptic fibration  $f: W' \rightarrow \mathbb{P}^1$ . So we have the reducible non-multiple degenerate fibres  $\tilde{T}_1 (= \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + 2E_1)$  and  $\tilde{T}_2 (= \tilde{N}_6 + \tilde{N}_7 + \tilde{N}_8 + \tilde{N}_9 + 2E_2)$  of  $f$  by Theorem 5.6.2 of [4], since  $W'$  has eight disjoint  $(-2)$ -curves. Moreover,  $f$  has two double fibres  $2F_1$  and  $2F_2$  since  $W'$  is an Enriques surface.

- (1) Suppose  $|\tilde{\Gamma}_2|$  determines the elliptic fibration. Then  $\tilde{\Gamma}_2$  is a fibre of  $f$ . Since  $\tilde{\Gamma}_1\tilde{\Gamma}_2 = 2$  (they meet at a point with multiplicity 2),  $2F_1\tilde{\Gamma}_1 = 2$ ,  $2F_2\tilde{\Gamma}_1 = 2$  and  $\tilde{T}_i\tilde{\Gamma}_1 = 2$  for  $i = 1, 2$ , we apply Hurwitz's formula to the covering  $f|_{\tilde{\Gamma}_1}: \tilde{\Gamma}_1 \rightarrow \mathbb{P}^1$  to obtain

$$0 = 2p_g(\tilde{\Gamma}_1) - 2 \geq 2(-2) + 5 = 1$$

which is impossible.

- (2) Suppose  $|2\tilde{\Gamma}_2|$  determines the elliptic fibration. Then  $2\tilde{\Gamma}_2$  is a fibre of  $f$ . Since  $2F_1\tilde{\Gamma}_1 (= 2\tilde{\Gamma}_2\tilde{\Gamma}_1) = 4$ ,  $2F_2\tilde{\Gamma}_1 = 4$  and  $\tilde{T}_i\tilde{\Gamma}_1 = 4$  for  $i = 1, 2$ , we apply Hurwitz's formula to the covering  $f|_{\tilde{\Gamma}_1}: \tilde{\Gamma}_1 \rightarrow \mathbb{P}^1$  to obtain

$$0 = 2p_g(\tilde{\Gamma}_1) - 2 \geq 4(-2) + 3 + 2 + 2 + 2 = 1,$$

which is impossible.

- e) The case  $\begin{smallmatrix} \Gamma_0 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (1,-2) \end{smallmatrix}$

By Lemma 4.3,  $\tilde{E}_1\tilde{\Gamma}_i = 1$  for  $i = 0, 1, 2$ . So we have  $\tilde{\Gamma}_0: (2, 2)$ ,  $\tilde{\Gamma}_1: (2, 2)$ ,  $\tilde{\Gamma}_2: (1, 0)$  and  $\tilde{\Gamma}_i\tilde{\Gamma}_j = 2$  for  $i \neq j$  on the Enriques surface  $W'$ .

**Lemma 4.4.**  $h^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) = 2$ .

*Proof.* Since  $2K_{W'} \equiv 0$  and  $K_{W'} + \tilde{\Gamma}_1$  is nef and big,

$$\begin{aligned} h^i(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) &= h^i(W', \mathcal{O}_{W'}(2K_{W'} + \tilde{\Gamma}_1)) \\ &= h^i(W', \mathcal{O}_{W'}(K_{W'} + (K_{W'} + \tilde{\Gamma}_1))) \\ &= 0 \end{aligned}$$

for  $i = 1, 2$  by Kawamata-Viehweg Vanishing Theorem. Thus

$$h^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) = 2$$

by Riemann-Roch Theorem.  $\square$

**Lemma 4.5.** *Let  $T$  be a nef and big divisor on  $W'$ .*

*Then any divisor  $U$  in a linear system  $|T|$  is connected.*

*Proof.* Consider an exact sequence

$$0 \rightarrow \mathcal{O}_{W'}(-U) \rightarrow \mathcal{O}_{W'} \rightarrow \mathcal{O}_U \rightarrow 0.$$

Then we get  $H^0(\mathcal{O}_{W'}) \cong H^0(\mathcal{O}_U)$  by the long exact sequence for cohomology, and so  $U$  is connected.  $\square$

Now, we apply Proposition 3.1.2 of [4] to the curve  $\tilde{\Gamma}_2$ . Then one of the linear systems  $|\tilde{\Gamma}_2|$  or  $|2\tilde{\Gamma}_2|$  gives an elliptic fibration  $f: W' \rightarrow \mathbb{P}^1$ . So we have the reducible non-multiple degenerate fibres  $\tilde{T}_1 (= \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + 2E_1)$ ,  $\tilde{T}_2 (= \tilde{N}_6 + \tilde{N}_7 + \tilde{N}_8 + \tilde{N}_9 + 2E_2)$  and two double fibres  $2F_1, 2F_2$  of the fibration  $f$ .

- (1) Suppose  $|\tilde{\Gamma}_2|$  determines the elliptic fibration. Consider an exact sequence  $0 \rightarrow \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1) \rightarrow \mathcal{O}_{W'}(\tilde{\Gamma}_1) \rightarrow \mathcal{O}_{E_1}(\tilde{\Gamma}_1) \rightarrow 0$ . If we assume  $H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1)) \neq 0$ , then  $\tilde{\Gamma}_1 \equiv 2E_1 + \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + G \equiv \tilde{\Gamma}_2 + G$  for some effective divisor  $G$ , and so  $p_a(G) = 0$  because  $\tilde{\Gamma}_2 G = 2$ . So there is an irreducible smooth

curve  $C$  with  $p_a(C) = 0$  (i.e.  $C$  is an irreducible  $(-2)$ -curve) as a component of  $G$ . We claim  $C\tilde{N}_i = 0$  for  $i = 2, 3, \dots, 9$ . Indeed, suppose  $C\tilde{N}_i > 0$  for some  $i$ , and then  $0 = G\tilde{N}_i = (H + C)\tilde{N}_i$ , where  $G = H + C$  for some effective divisor  $H$ . Since  $H\tilde{N}_i < 0$ ,  $\tilde{N}_i$  is a component of  $H$ . Thus  $\tilde{\Gamma}_1 - \tilde{\Gamma}_2 \equiv G = \tilde{N}_i + I$  for some effective divisor  $I$ , which is impossible by  $p_a(\tilde{\Gamma}_1) = 2$ ,  $p_a(\tilde{\Gamma}_2) = 1$ ,  $\tilde{\Gamma}_2 I = 2$ ,  $\tilde{N}_i I = 2$  and connectedness among  $\tilde{\Gamma}_2, \tilde{N}_i$  and  $I$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big. On the other hand, suppose  $C\tilde{N}_i < 0$  for some  $i$ , then  $C = \tilde{N}_i$  because  $C$  and  $\tilde{N}_i$  are irreducible and reduced. Thus  $\tilde{\Gamma}_1 - \tilde{\Gamma}_2 \equiv G = \tilde{N}_i + H$  for an effective divisor  $H$ , which is impossible by  $p_a(\tilde{\Gamma}_1) = 2$ ,  $p_a(\tilde{\Gamma}_2) = 1$ ,  $\tilde{\Gamma}_2 H = 2$  and  $\tilde{N}_i H = 2$  and connectedness among  $\tilde{\Gamma}_2, \tilde{N}_i$  and  $H$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big. Hence we have nine disjoint  $(-2)$ -curves  $C, \tilde{N}_2, \dots, \tilde{N}_9$ , which induce a contradiction on the Enriques surface  $W'$  by Lemma 3.4. Now, we have  $H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1)) = 0$ , and so

$$H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) \longrightarrow H^0(E_1, \mathcal{O}_{E_1}(\tilde{\Gamma}_1))$$

is an injective map.

Since  $h^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) = 2$  and  $h^0(E_1, \mathcal{O}_{E_1}(\tilde{\Gamma}_1)) = 2$  (because  $\tilde{\Gamma}_1 E_1 = 1$ ),  $\tilde{\Gamma}_1 \equiv \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + L$  for some effective divisor  $L$ , which is impossible by  $p_a(\tilde{\Gamma}_1) = 2$  and  $\tilde{N}_i L = 2$  for all  $i = 2, 3, 4, 5$  and connectedness among  $\tilde{N}_2, \tilde{N}_3, \tilde{N}_4, \tilde{N}_5$  and  $L$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big.

- (2) Suppose  $|2\tilde{\Gamma}_2|$  determines the elliptic fibration. Consider an exact sequence

$$0 \longrightarrow \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1) \longrightarrow \mathcal{O}_{W'}(\tilde{\Gamma}_1) \longrightarrow \mathcal{O}_{E_1}(\tilde{\Gamma}_1) \longrightarrow 0.$$

If we assume  $H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1)) \neq 0$ , then  $\tilde{\Gamma}_1 \equiv E_1 + \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + G$  for some effective divisor  $G$ , which is impossible by  $p_a(\tilde{\Gamma}_1) = 2$ ,  $E_1 G = 0$  and  $\tilde{N}_i G = 1$  for all  $i = 2, 3, 4, 5$  and connectedness among  $E_1, \tilde{N}_2, \tilde{N}_3, \tilde{N}_4, \tilde{N}_5$  and  $G$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big. Thus we have  $H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1)) = 0$ , and so

$$H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) \longrightarrow H^0(E_1, \mathcal{O}_{E_1}(\tilde{\Gamma}_1))$$

is an injective map.

Since  $h^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) = 2$  and  $h^0(E_1, \mathcal{O}_{E_1}(\tilde{\Gamma}_1)) = 3$  (because  $\tilde{\Gamma}_1 E_1 = 2$ ),  $\tilde{\Gamma}_1 \equiv \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + L$  for some effective divisor  $L$ , which is also impossible by  $p_a(\tilde{\Gamma}_1) = 2$  and  $\tilde{N}_i L = 2$  for all  $i = 2, 3, 4, 5$  and connectedness among  $\tilde{N}_2, \tilde{N}_3, \tilde{N}_4, \tilde{N}_5$  and  $L$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big.

- f) The case  $\begin{pmatrix} \Gamma_0 \\ 2, -2 \end{pmatrix} + \begin{pmatrix} \Gamma_1 \\ 2, 0 \end{pmatrix}$

By Lemma 4.3 (i),  $\bar{E}_1 \Gamma_0 = 2$  and  $\bar{E}_1 \Gamma_1 = 1$ . So we have  $\tilde{\Gamma}_0: (4, 6)$  and  $\tilde{\Gamma}_1: (2, 2)$  on the Enriques surface  $W'$ .

Consider an elliptic fibration of Enriques surface  $f: W' \longrightarrow \mathbb{P}^1$ , and assume  $\tilde{\Gamma}_1 F = 2\gamma$ , where  $F$  is a general fibre of  $f$ . Then  $\gamma > 0$

because  $\tilde{\Gamma}_1$  cannot occur in a fibre of  $f$  by  $p_a(\tilde{\Gamma}_1) = 2$ . Moreover, consider an exact sequence

$$0 \longrightarrow \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1) \longrightarrow \mathcal{O}_{W'}(\tilde{\Gamma}_1) \longrightarrow \mathcal{O}_{E_1}(\tilde{\Gamma}_1) \longrightarrow 0.$$

If we assume  $H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1)) \neq 0$ , then  $\tilde{\Gamma}_1 \equiv E_1 + \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + G$  for some effective divisor  $G$ , which is impossible by  $p_a(\tilde{\Gamma}_1) = 2$ ,  $\tilde{N}_i G = 1$  for all  $i = 2, 3, 4, 5$  and connectedness among  $E_1, \tilde{N}_2, \tilde{N}_3, \tilde{N}_4, \tilde{N}_5$  and  $G$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big. Now, we have  $H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1 - E_1)) = 0$ , and so

$$H^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) \longrightarrow H^0(E_1, \mathcal{O}_{E_1}(\tilde{\Gamma}_1))$$

is an injective map. Since  $h^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_1)) = 2$  by Lemma 4.4 and  $h^0(E_1, \mathcal{O}_{E_1}(\tilde{\Gamma}_1)) = \gamma + 1$  (because  $\tilde{\Gamma}_1 E_1 = \gamma$ ),  $\tilde{\Gamma}_1 \equiv \tilde{N}_2 + \tilde{N}_3 + \tilde{N}_4 + \tilde{N}_5 + L$  for some effective divisor  $L$ , which is impossible by  $p_a(\tilde{\Gamma}_1) = 2$  and  $\tilde{N}_i L = 2$  for all  $i = 2, 3, 4, 5$  and connectedness among  $\tilde{N}_2, \tilde{N}_3, \tilde{N}_4, \tilde{N}_5$  and  $L$  induced from Lemma 4.5 since  $\tilde{\Gamma}_1$  is nef and big.

Therefore, all other cases except  $B_0 = \frac{\Gamma_0}{(3,0)} + \frac{\Gamma_1}{(1,-2)}$  or  $\frac{\Gamma_0}{(3,-2)}$  are excluded.

**Lemma 4.6.** *If  $W$  is birational to an Enriques surface then  $S$  has a 2-torsion element.*

*Proof.* If  $W$  is birational to an Enriques surface then  $2K_W$  can be written as  $2A$  where  $A$  is an effective divisor. Thus  $2K_V \equiv \tilde{\pi}^*(2A) + 2\tilde{R}$ , where  $\tilde{R}$  is the ramification divisor of  $\tilde{\pi}$ . So  $G = \tilde{\pi}^*(A) + \tilde{R}$  is an effective divisor such that  $G \sim K_V$  but  $G \not\equiv K_V$  because  $G$  is effective and  $p_g(V) = 0$ . Since  $2G \equiv 2K_V$ ,  $G - K_V$  is a 2-torsion element, and so  $S$  has a 2-torsion element.  $\square$

**Remark 4.7.** Suppose  $B_0 = \frac{\Gamma_0}{(3,0)} + \frac{\Gamma_1}{(1,-2)}$ . By Lemma 4.3,  $\bar{E}_1 \Gamma_0 = 2$  and  $\bar{E}_1 \Gamma_1 = 1$ . So we have  $\tilde{\Gamma}_0: (5, 8)$ ,  $\tilde{\Gamma}_1: (1, 0)$  and  $\tilde{\Gamma}_0 \tilde{\Gamma}_1 = 4$  on the Enriques surface  $W'$ . We have  $h^0(W', \mathcal{O}_{W'}(\tilde{\Gamma}_0)) = 5$  since  $\tilde{\Gamma}_0: (5, 8)$ . However, the intersection number  $\tilde{\Gamma}_0 \tilde{\Gamma}_1 = 4$  together with tangency condition gives a six dimensional conditions.

By the results in Section 3 and 4, we have the table of classification in Introduction.

## 5. EXAMPLES

There is an example of a minimal surface  $S$  of general type with  $p_g(S) = q(S) = 0$ ,  $K_S^2 = 7$  with an involution. Such an example can be found in Example 4.1 of [10]. Since the surface  $S$  is constructed by bidouble cover (i.e.  $\mathbb{Z}_2^2$ -cover), there are three involutions  $\gamma_1, \gamma_2$  and  $\gamma_3$  on  $S$ . The bicanonical map  $\varphi$  is composed with the involution  $\gamma_1$  but not with  $\gamma_2$  and  $\gamma_3$ . Thus the pair  $(S, \gamma_1)$  has  $k = 11$  by Proposition 3.2, and then  $W_1$  is rational and  $K_{W_1}^2 = -4$  by Theorem 3.5 (ii), where  $W_1$  is the blow-up of all the nodes in  $S/\gamma_1$ . On the other hand, we cannot see directly about  $k$ ,  $K^2$  and the Kodaira dimension of the quotients in the case  $(S, \gamma_2)$  and  $(S, \gamma_3)$ . We use notations of Example 4.1 of [10], but  $P$  denotes  $\Sigma$  of Example 4.1 of [10].

Moreover,  $W_i$  comes from the blow-ups at all the nodes of  $\Sigma_i := S/\gamma_i$  for  $i = 1, 2, 3$ .

Now, we observe that  $W_i$  is constructed by using a double covering  $T_i$  of a rational surface  $P$  with a branch divisor related to  $L_i$ . The surface  $P$  is obtained as the blow-up of six points on a configuration of lines in  $\mathbb{P}^2$ . The surface  $W_i$  is obtained by examining  $(-1)$  and  $(-2)$ -curves on  $T_i$  and contracting some of them.

We will now explain this examination in more details for each case. Firstly, for  $i = 1$ , then  $K_{T_1}^2 = -6$  since  $K_{T_1} \equiv \pi_1^*(K_P + L_1)$ , where  $\pi_1: T_1 \rightarrow P$  is the double cover. We observe that there are only two  $(-1)$ -curves on  $T_1$  because  $S_3, S_4$  are on the branch locus of  $\pi_1$ . So  $K_{W_1}^2 = K_{\Sigma_1}^2 = -6 + 2 = -4$ . On the other hand, we also observe that there are only seven nodes and four  $(-2)$ -curves on  $T_1$  because  $D_2 D_3 = 7$  and  $S_1$  and  $S_2$  do not contain in  $D_2 + D_3$ . So  $\Sigma_1$  has  $k = 11$  nodes. Moreover,  $H^0(T_1, \mathcal{O}_{T_1}(2K_{T_1})) = H^0(P, \mathcal{O}_P(2K_P + 2L_1)) \oplus H^0(P, \mathcal{O}_P(2K_P + L_1))$  since  $2K_{T_1} \equiv \pi_1^*(2K_P + 2L_1)$  and  $\pi_{1*}(\mathcal{O}_{T_1}) = \mathcal{O}_P \oplus \mathcal{O}_P(-L_1)$ . So  $H^0(T_1, \mathcal{O}_{T_1}(2K_{T_1})) = 0$  because  $2K_P + 2L_1 = 4l - 2e_2 - 4e_4 - 2e_5 - 2e_6$  and  $2K_P + L_1 = -l + e_1 + e_3 - e_4$ . This means that  $T_1$  is rational, and therefore  $W_1$  is rational. For the branch divisor  $B_0$ , we observe  $f_2$  and  $\Delta_1$  in  $D_1$ . Since  $f_2 D_2 = 4$  and  $f_2 D_3 = 4$ ,  $f_2(D_2 + D_3) = 8$ . By Hurwitz's formula,  $2p_a(\Gamma_0) - 2 = 2(p_a(f_2) - 2) + 8$ , and so  $p_a(\Gamma_0) = 3$  because  $f_2$  is rational, and moreover  $\Gamma_0^2 = 0$  because  $f_2^2 = 0$ . This means  $\Gamma_0: (3, 0)$ . Similarly, since  $\Delta_1 D_2 = 1$  and  $\Delta_1 D_3 = 5$ ,  $\Delta_1(D_2 + D_3) = 6$ . By Hurwitz's formula,  $2p_a(\Gamma_1) - 2 = 2(p_a(\Delta_1) - 2) + 6$ , and so  $p_a(\Gamma_1) = 2$  because  $\Delta_1$  is rational, and moreover  $\Gamma_1^2 = -2$  because  $\Delta_1^2 = -1$ . This means  $\Gamma_1: (2, -2)$ , thus  $B_0 = \begin{smallmatrix} \Gamma_0 \\ (3, 0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2, -2) \end{smallmatrix}$ .

Secondly, in the case  $i = 2$ , we calculate  $K_{T_2}^2 = -6$ . We observe that there are only four  $(-1)$ -curves on  $T_2$  because  $S_1, S_2, S_3, S_4$  are on the branch locus. So  $K_{W_2}^2 = K_{\Sigma_2}^2 = -6 + 4 = -2$ . On the other hand, we also observe that there are only nine nodes on  $T_2$  because  $D_1 D_3 = 9$ . So  $\Sigma_2$  has  $k = 9$  nodes. Also,  $H^0(T_2, \mathcal{O}_{T_2}(2K_{T_2})) = 0$  by a similar argument as the case  $i = 1$ . So  $W_2$  is rational. For the branch divisor  $B_0$ , we observe  $f_3$  and  $\Delta_2$  in  $D_2$ . Since  $f_3 D_1 = 2$  and  $f_3 D_3 = 6$ ,  $p_a(\Gamma_0) = 3$  because  $f_3$  is rational, and  $\Gamma_0^2 = 0$  because  $f_3^2 = 0$ . This means  $\Gamma_0: (3, 0)$ . Moreover, since  $\Delta_2 D_1 = 3$  and  $\Delta_2 D_3 = 1$ ,  $p_a(\Gamma_1) = 1$  because  $\Delta_2$  is rational, and  $\Gamma_1^2 = -2$  because  $\Delta_2^2 = -1$ . This means  $\Gamma_1: (1, -2)$ , thus  $B_0 = \begin{smallmatrix} \Gamma_0 \\ (3, 0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1, -2) \end{smallmatrix}$ .

Lastly, for  $i = 3$ , we get  $K_{T_3}^2 = -4$ . There are only two  $(-1)$ -curves on  $T_3$  because  $S_1, S_2$  are on the branch locus. So  $K_{W_3}^2 = K_{\Sigma_3}^2 = -4 + 2 = -2$ . On the other hand, there are only nine nodes on  $T_3$  because  $D_1 D_2 = 5$  and  $S_3$  and  $S_4$  do not contain in  $D_1 + D_2$ . So  $\Sigma_3$  has  $k = 9$  nodes. Also,  $H^0(T_3, \mathcal{O}_{T_3}(2K_{T_3})) = 0$  by a similar argument to the case  $i = 1$ . So  $W_3$  is rational. For the branch divisor  $B_0$ , we observe  $f_1, f'_1$  and  $\Delta_3$  in  $D_3$ . Since  $f_1 D_1 = 4$  and  $f_1 D_2 = 2$ ,  $p_a(\Gamma_0) = 2$  because  $f_1$  is rational, and  $\Gamma_0^2 = 0$  because  $f_1^2 = 0$ . This means  $\Gamma_0: (2, 0)$ , and  $\Gamma_1$  related to  $f'_1$  is also of type  $(2, 0)$ . Moreover, since  $\Delta_3 D_1 = 1$  and  $\Delta_3 D_2 = 3$ ,  $p_a(\Gamma_2) = 1$  because  $\Delta_3$  is rational, and  $\Gamma_2^2 = -2$  because  $\Delta_3^2 = -1$ . This means  $\Gamma_2: (1, -2)$ , thus  $B_0 = \begin{smallmatrix} \Gamma_0 \\ (2, 0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2, 0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (1, -2) \end{smallmatrix}$ .

The following table summaries our result:

|                 | $k$ | $K_{W_i}^2$ | $B_0$  | $W_i$    |
|-----------------|-----|-------------|--|----------|
| $(S, \gamma_1)$ | 11  | -4          | $\begin{smallmatrix} \Gamma_0 \\ (3,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2,-2) \end{smallmatrix}$   | rational |
| $(S, \gamma_2)$ | 9   | -2          | $\begin{smallmatrix} \Gamma_0 \\ (3,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1,-2) \end{smallmatrix}$   | rational |
| $(S, \gamma_3)$ | 9   | -2          | $\begin{smallmatrix} \Gamma_0 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (2,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_2 \\ (1,-2) \end{smallmatrix}$ | rational |

Recently, Rito [15] gave a new example of surface of general type with  $p_g = q = 0$  and  $K^2 = 7$  by using a double cover of a rational surface. In his example,  $B_0$  is also  $\begin{smallmatrix} \Gamma_0 \\ (3,0) \end{smallmatrix} + \begin{smallmatrix} \Gamma_1 \\ (1,-2) \end{smallmatrix}$ .

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